

## UTILITY PATENT APPLICATION TRANSMITTAL

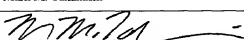
(Only for new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No.	LIGHT2140	C/M # 2101501-165402
First Inventor or Application Identifier:	CHI WU	
Title:	Method of Forming an Optical Component	
Express Mail Label No.:	EL617045299US	

Application Elements (See MPEP chapter 600 concerning utility patent application contents)	ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231
1. <input type="checkbox"/> Fee Transmittal Form (Submit an original. A duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 25] (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Form (CRF)
• Descriptive title of the Invention	b. <input type="checkbox"/> Specification Sequence Listing on:
• Cross References to Related Applications	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
• Statement Regarding Fed sponsored R&D	ii. <input type="checkbox"/> paper
• Background of the Invention	c. <input type="checkbox"/> Statement verifying identity of above copies
• Brief Summary of the Invention	<b>ACCOMPANYING APPLICATION PARTS</b>
• Brief Description of the Drawings (if filed)	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
• Detailed Description	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee)
• Claim(s)	11. <input type="checkbox"/> English Translation Document (if applicable)
• Abstract of the Disclosure	12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets 13]	13. <input type="checkbox"/> Preliminary Amendment (___ pgs.)
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3]	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)
a. <input type="checkbox"/> Newly executed (original or copy)	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation-in-part with Box 17 completed)	16. <input checked="" type="checkbox"/> Express Mail Certification
i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.53(b)	17. <input type="checkbox"/> OTHER: Check # _____ (\$ _____)
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-In-Part of prior application no.: \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number (25548) Or Bar Code Label OR <input type="checkbox"/> Correspondence Address Below	<div style="border: 1px solid black; width: 200px; height: 50px; margin: 0 auto;"></div>		
NAME	ATTN: Terrance A. Meador GRAY CARY WARE & FREIDENRICH		
ADDRESS	4365 Executive Drive, Suite 1600 San Diego, CA 92121-2189		
Telephone: 858-638-6747	General Fax No.: 858-677-1477	Patent Group Fax No.: 619/677-1465	
Name (print/type)	Mark M. Takahashi	Registration No.: (Attorney/Agent)	38,631
Signature		Date	July 2001

PATENT ATTORNEY DOCKET NO.: LIGHT2140

DATE MAILED: 07/11 JULY 2001

The Patent and Trademark Office date stamp sets forth the receipt date of:

Applicant or Patentee:

CHI WU

Filing Date: Herewith

Serial No.:

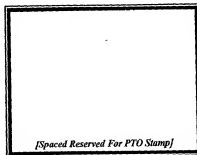
Unknown

Title:

METHOD OF FORMING AN OPTICAL COMPONENT

UTILITY PATENT APPLICATION

1. Utility Patent Application Transmittal Form (1 pg);
2. Utility Patent Specification (25 pgs);
3. Drawings (13 Sheets);
4. Declaration for Patent Application (3 pgs) (unsigned);
5. Express Mail Certification; and
6. Return Postcard



Atty/Sec Initials: TAM/MMT/meg

Client/Matter No.: 2101501-165402 Date Due: N/A

"EXPRESS MAIL" MAILING LABEL NO. \_\_\_\_\_

EL617045299US

DATE OF DEPOSIT: // JULY 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

MIKHAIL BAYLEY

NAME

*Mikhail Bayley*

SIGNATURE